

Kevin P. Broughel

Partner

New York Office

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Practices

FOCUS: Financial Markets Litigation and Enforcement

Antitrust and Competition

Class Action Litigation

Commercial Litigation

Litigation

Pharmaceutical and Life Sciences Litigation

Securities Litigation

Industries

Energy

Finance and Financial Markets

Pharmaceutical and Life Sciences

Technology

Education

JD, Fordham University School of Law

BA, Georgetown University, *cum laude*

Bar Admissions

Connecticut

New York

Court Admissions

US Court of Appeals, Second Circuit

US Court of Appeals, Sixth Circuit

US District Court, District of Connecticut

US District Court, Southern District of New York

US District Court, Eastern District of New York

Community Involvements

Cornerstone School of Summit, NJ, Former Board of Trustees Member

Kevin Broughel is a seasoned litigator with over two decades of experience in state and federal courts throughout the country, with a practice emphasis on financial services, securities and shareholder disputes, fiduciary duty litigation and commercial litigation. He is highly sought after for his ability to provide sound counsel on a variety of legal issues involving the financial services, securities and banking industries.

A litigation powerhouse with a keen eye for detail

Kevin has extensive experience representing corporations and individuals in various high-stakes matters involving corporate governance/fiduciary duties, securities fraud, mergers and acquisitions, class and derivative litigation, and bankruptcy litigation and restructuring matters. He has been individually recognized in many leading legal ranking publications, including *Lawdragon*, *Benchmark Litigation*, *Chambers USA* and *Legal 500*. According to client survey responses in *Chambers*, "he is a particularly strong choice for international clients or matters with a cross-border component" and is described as having "an excellent courtroom presence" and a "nuanced and sophisticated understanding of the law," while being "detail-oriented and very much a strategic thinker early on in the cases" as well as "very business-savvy." Additionally, *Legal 500* highlights that Kevin is "very professional," "approachable and caring," and adept at "guiding [his clients] to the best resolution possible."

Kevin's experience is not limited to the financial services sector. His clients come from a variety of other industries, including energy, e-commerce, private equity, real estate and life sciences. Kevin is also a frequent speaker and writer on various financial and general commercial litigation topics and, in the past, has served as co-chair of the American Bar Association's programming for its Pre-Trial Practice and Discovery Committee.

While at Fordham University School of Law, he was a member of the Moot Court, serving as captain of the 2002 BMI/Cardozo Entertainment and

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Kids in Need of Defense (KIND), Pro Bono Counsel

Summit Police Athletic League, Former Board Member

Communications Law competition team that won "Best Overall Team" and "Best Brief Honors." Kevin also was a semifinalist in the 2001 Maurice Wormser Corporate Law Moot Court competition.

"He's very attentive. He's really good about keeping in touch, keeping clients updated, and also brings really good strategy."

*- Chambers USA 2023
(New York, Litigation: Securities) survey response*

Representative Experience

- Represented a telecommunications provider debtor in successfully restructuring its senior notes through a prepackaged Chapter 11 plan.*
- Represented various open-end and closed-end funds in securities class action litigation, opt-out and shareholder derivative litigation.*
- Represented a national pre-paid debit card in various consumer class actions over fund access issues.*
- Represented a global air carrier in a multi-district litigation relating to alleged price-fixing in the global air cargo business.*
- Represented a global investment bank in various cases involving complex synthetic CDO transactions.*
- Represented a major energy delivery company before the Delaware Chancery Court and Delaware Supreme Court regarding a challenge to a \$1.2 billion pipeline expansion project.*
- Represented a major telecommunications and cable provider in Delaware Chancery Court concerning the purchase and sale of certain cable television systems.*
- Represented a leading media company regarding termination obligations and unpaid commissions relating to television advertising services.*
- Represented a worldwide boxing and sporting goods company in an action brought by shareholders concerning a proposed sale and merger.*
- Represented a private equity firm in litigation arising from the proposed purchase of a sports trading card and memorabilia company.*

*Experience prior to Katten

Recognitions

Recognized or listed in the following:

- *Benchmark Litigation*